

11/27/01
11060 U.S. PTO

11-29-01

A

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01-40236-US-C
First Inventor Tijerino, Yuri
Title Adaptive Communication Data Retrieval System
Express Mail Label No. EL-160821070 U.S.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 29]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total 2]
5. Oath or Declaration [Total Pages 2]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/494,188

Prior application information: Examiner Trinh, S.

Group / Art Unit 2681

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Louis M. Heidelberger				
	Reed Smith LLP				
Address	2500 One Liberty Place				
	1650 Market Steet				
City	Philadelphia	State	PA	Zip Code	19103
Country	US	Telephone	215-851-8100	Fax	215-851-1420

Name (Print/Type) Jonathan M. Darcy

Registration No. (Attorney/Agent) 44,054

Signature

Date 11/27/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuri Adrian Tijerino : Examiner: Not Yet Assigned
Serial No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned
Filing Date: Herewith : Docket No.: 01-40236-US-C
Title: ADAPTIVE COMMUNICATION DATA RETRIEVAL SYSTEM

CONTINUATION APPLICATION TRANSMITTAL

U.S. Patent and Trademark Office
BOX PATENT APPLICATION
P.O. Box 2327
Arlington, VA 22202

Sir:

This application is a continuation of U.S. Patent Application Serial No. 09/494,188, filed January 28, 2000, which is incorporated by reference, as if fully set forth in their respective entireties herein.

The following document(s) is/are submitted herewith:

1. 14 pages of Specification
2. 15 pages Claims
3. 1 page Abstract
4. 2 pages of formal drawings (Figures 1 and 2)
5. Copy of Declaration and Power of Attorney from a prior application
6. Transmittal Form
7. Return receipt postcard

EXPRESS MAIL CERTIFICATE (37 CFR 1.10)

Express Mail Label No.

EL166821070US

Date of Deposit

Nov 27, 2001

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office, BOX PATENT APPLICATION, P.O. Box 2327, Arlington, VA 22202.

Name

JUDITH A. ZWELG

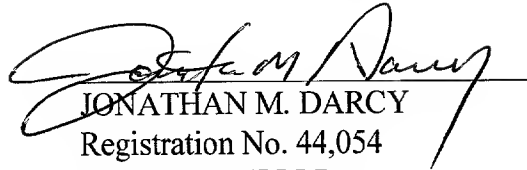
Signature

Judith A. Zwelg

SEND ALL CORRESPONDENCE TO:

LOUIS M. HEIDELBERGER, ESQ.
REED SMITH LLP
2500 One Liberty Place
1650 Market Street
Philadelphia, Pennsylvania 19103

Respectfully Submitted,

A handwritten signature in dark ink, appearing to read "Jonathan M. Darcy", is written over a horizontal line.

JONATHAN M. DARCY
Registration No. 44,054
REED SMITH LLP
2500 One Liberty Place
1650 Market Street
Philadelphia, PA 19103-7301
Telephone No.: (215) 851-8100
Facsimile No.: (215) 851-1420
Attorneys for Applicant

Dated: November 27, 2001

099530-1101
T02T 050500